

**Additional information for Makaseb investment accounts as per BMA requirement**

Date: \_\_\_\_\_

CIS Number: \_\_\_\_\_ A/C Number \_\_\_\_\_

Customer Name: \_\_\_\_\_

<b><i>Incase of third parties</i></b> Name of beneficial owner: _____
<b><i>Incase of Minor</i></b> Identity of Parent/Guardian: _____
<b><i>Incase of Elderly/disabled</i></b> Identity of authorized person: _____
<b><i>Natural person</i></b> Source of funds: _____  Source of wealth: _____
<b><i>Legal entities or legal arrangements</i></b> Name of external auditor: _____  Source of funds: _____  Identity of Settler/Trusty/Beneficiary: _____  Any other licensed entity ref.: _____

***Customer undertaking:***

I/we undertake to immediately notify in writing to any branch of Mashreq within UAE if any changes occur in the following:

- Passport, address, telephone details and other identity documents.
- Signatory or beneficiary of the account.
- The way account will be operated or business relation is conducted.
- Customer documentation standards.
- The legal structure or ownership.

I/we further undertake that I/we are acting on our own behalf. Incase, acting on third party/ies, I/we have disclosed the names as stated above.

\_\_\_\_\_  
Customer signature

\_\_\_\_\_  
Branch SSO

\_\_\_\_\_  
Branch LMM

***For branch use only***

CIS Number: \_\_\_\_\_ A/C Number \_\_\_\_\_

Customer Name: \_\_\_\_\_

***Following mandatory checks have been performed by the branch/unit***

- Identity of customer and source of funds has been verified. (Incase beneficial owner is different, his/her identity must be verified.)
- There is no doubt on the veracity of the KYC documentation.
- Signed statement obtained from customer whether they are acting on their own behalf or any third party. (Incase customer acting behalf on third party a document must be obtained confirming customer's authority to operate the account.)
- Complete customer due diligence has been exercised.
- Customer details have been verified through original identity documents. (Residential address has been verified through utility bills, direct telephone contact or CPV.)
- Customer data checked through world check (cases referred to IC&CU till integration into SAS has been implemented.)
- Documents outlining the source of wealth have been obtained from the customer.

Date: \_\_\_\_\_

Branch SSO signature: \_\_\_\_\_

Reviewed by Branch LMM: \_\_\_\_\_